AFFIRMX REMEDIATION REPORT
OPT OUT CLAUSE FIX

March 23, 2016

PROJECT INFORMATION

Initiating User	**Camille Compliance**
Start Date	**March 22, 2016**
Category Folder	**/ProblemRemediations/2016/Operations**
Category	**Operations**
Remediation Folder Base	**/ProblemRemediations/2016**
Notes	**Opt out clause left out of newly revised privacy policy**

I. TASKS

A.   COMPLETE PROBLEM DOCUMENTATION

Task Type	**Questionnaire**
Description	**Fill out the assigned questionnaire to document information regarding the problem identified.**
Assigned To	**Camille Compliance**
Due Date	**March 30, 2016**
Completed By	**Camille Compliance**
Completed Date	**March 22, 2016 14:18**
Questionnaire	**Problem Documentation for Operations: Opt Out Clause Fix**

B.   FIX THE IDENTIFIED PROBLEM

Task Type	**User Task**
Description	**Take steps to fix the identified problem. You will be asked to document these steps in the next task.**
Assigned To	**Camille Compliance**
Due Date	**March 30, 2016**
Completed By	**Camille Compliance**
Completed Date	**March 22, 2016 14:19**
Notes	**Inadvertently left off draft 5. Restored clause from draft 4**

C.   RECORD REMEDIATION EFFORTS

Task Type	**Questionnaire**
Description	**Document the remediation steps taken to resolve the problem identified.**
Assigned To	**Camille Compliance**
Due Date	**March 30, 2016**

Completed By	Camille Compliance
Completed Date	March 22, 2016 14:20
Questionnaire	Remediation Efforts for Operations: Opt Out Clause Fix

D.   SCHEDULE FOLLOW-UP WITH AFFIRMX

Task Type	User Task
Description	Contact compliance@affirmx.com to schedule a follow-up review once the problem has been fixed.
Assigned To	Camille Compliance
Due Date	March 30, 2016
Completed By	Camille Compliance
Completed Date	March 22, 2016 14:21
Notes	Contacted Heather Riley at AffirmX and this artifact will be scheduled and reviewed in May 2016

E.   UPLOAD PROJECT REPORT

Task Type	Artifact Upload
Description	Upload the project report outlining the efforts to resolve this problem
Assigned To	Camille Compliance
Due Date	March 30, 2016
Completed By	Camille Compliance
Completed Date	March 22, 2016 14:22
Artifact Code	PRJ-REM-RemediationReport
Instructions	Please upload a completed Remediation Report for this problem
Upload Folder	/ProblemRemediations/2016/Operations

II. PROBLEM DOCUMENTATION FOR OPERATIONS: OPT OUT CLAUSE FIX

F.   PROBLEM DOCUMENTATION : DOCUMENTATION

Provide a brief description of the problem.
Answered by: Camille Compliance on 03/22/2016 02:18 PM EDT
Privacy Policy does not contain the opt out clause required by Regulation P

When was this problem identified?
Answered by: Camille Compliance on 03/22/2016 02:18 PM EDT
3/23/2016

Who identified the problem?
Answered by: Camille Compliance on 03/22/2016 02:18 PM EDT
AffirmX

Who is responsible for fixing the problem?
Answered by: Camille Compliance on 03/22/2016 02:18 PM EDT
Omar Obtuse

What is the estimated timeframe for when the problem will be fixed by?
Answered by: Camille Compliance on 03/22/2016 02:18 PM EDT
04/30/2016

Initial problem documentation prepared by:
Answered by: Camille Compliance on 03/22/2016 02:18 PM EDT
Camille Compliance

III. REMEDIATION EFFORTS FOR OPERATIONS: OPT OUT CLAUSE FIX

G.　　PROBLEM REMEDIATION : REMEDIATION

Describe what steps were taken to remediate the problem.

Answered by: Camille Compliance on 03/22/2016 02:20 PM EDT

Restored clause from draft 4

When was this problem fixed?

Answered by: Camille Compliance on 03/22/2016 02:20 PM EDT

04/19/2016

Who fixed the problem?

Answered by: Camille Compliance on 03/22/2016 02:20 PM EDT

Omar Obtuse

Remediation steps prepared by:

Answered by: Camille Compliance on 03/22/2016 02:20 PM EDT

Camille Compliance

Remediation steps approved by:

Answered by: Camille Compliance on 03/22/2016 02:20 PM EDT

Camille Compliance