



# Risk and compliance services trusted by financial institutions throughout the world.

## The AdvisX Solution

AdvisX's highly skilled team specializes in providing advisory compliance services to help financial institutions know what is needed to improve compliance with federal regulations at their institution. The firm has a long history of combining real-world compliance experience with pragmatic technological innovations to help financial institutions effectively manage the cost of regulatory risk.

From statistical and demographic analyses to training, from strategic responses to corrective action, AdvisX provides your institution with proven systems to identify and resolve problem areas with recommendations for corrective action. Our compliance risk services are scalable to best fit your situation, whether you're responding to an enforcement order on fair lending, or merely need a quick review of a specific area. Our advisory services complement the assurance services offered by our sister company, AffirmX.

AdvisX provides a cornucopia of ongoing compliance services to financial institutions throughout the nation. The firm has the expertise you need along with the flexibility that other, more traditional compliance consulting firms simply cannot provide.

[advisx.com](http://advisx.com)

Discover how AdvisX can improve your institution's performance. Here are some of our service and product offerings:

### BSA/AML/OFAC

- Look-back Projects
- On- or Off-Site AML Investigation Assistance Work Group
- BSA/AML/OFAC System Optimization Analysis
- BSA/AML/OFAC System Validation
- In-depth BSA Training
- On- or Off-Site CDD & EDD Investigation Work Group
- **NEW!** Customer Profile and Activity Monitoring System (CPAMS) for CDD/MDD & EDD

### CRA

- SAGE Report: Self-Assessment Gap Evaluation
- Community Development Opportunity List
- Mapping and Geographic Analysis Services

### Credit

- Commercial Loan Credit Memorandum
- Internal Loan Review Widget for Commercial Lending
- Periodic Concentration Analysis

### Enterprise Risk Management

- Enterprise Risk Management Assessment
- Dynamic Articulated Risk Tracking (DART) System

### Fair Lending

- Look-back Projects
- HMDA Data Performance Analysis
- Statistical Analysis
- Fair Lending Second Review

### Fraud

- Fraud Investigation

### General Compliance

- Training
- Compliance Management
- UDAAP Analysis & Assistance
- Periodic Statement Review

### Operations

- Disaster Recovery/Business Resumption Tabletop Exercise
- IT Risk Assessments

### Strategic

- Policy Management
- Board Package Hub
- Strategic Planning



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